United States Bureau of Reclamation Department of the Interior

R-DO - Reclamation – Denver and Washington Offices



2023 R23AS00362

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A. Program Description

A1. Authority

Section 6002 of the Cooperative Watershed Management Act, Subtitle A of Title VI of the Omnibus Public Land Management Act of 2009, Public Law (P.L.) 111-11 (42 United States Code [U.S.C.] 10364).

Catalog of Federal Domestic Assistance (CFDA) Number 15.554

A2. Background, Purpose and Program Requirements

B. Federal Award Information

B1. Total Funding

Estimate contingent upon final Congressional appropriations

Estimated Total Funding

B2. Expected Award Amount

Maximum Award \$300,000

Minimum Award

\$10,000

B3. Anticipated Award Funding and Dates

Anticipated Award Date

December 31, 2024

Anticipated Project Completion Date

09/30/2028

B4. Number of Awards

Anticipated Number of Awards

30

B5. Type of Award

Funding Instrument Type

G - Grant

CA - Cooperative Agreement

C. Eligibility Information

C1. Eligible Applicants

Eligible Applicants

- 00 State governments
- 01 County governments
- 02 City or township governments
- 04 Special district governments
- 07 Native American tribal governments (Federally recognized)
- 12 Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education
- 13 Nonprofits without 501(c)(3) status with the IRS, other than institutions of higher education
- 25 Others (see text field entitled "Additional Information on Eligibility" for clarification)

Additional Information on Eligibility

Applicants eligible to receive an award as a Watershed Group include states, Indian Tribes, local and special districts (e.g., irrigation and water districts), local governmental entities, interstate organizations, non-profit organizations, and institutes of higher education sponsoring a watershed group and must be located in one of the state or territories:Alaska, Arizona, California, Colorado, Hawaii, Idaho, Kansas, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, American Samoa, Guam, the Northern Mariana Islands, the Virgin Islands, and Puerto Rico.

C2. Cost Sharing or Matching

Cost Sharing / Matching Requirement

No

C3. Other

Excluded Parties: Reclamation conducts a review of the SAM.gov Exclusions database for all applicant entities and their project personnel prior to award. Reclamation cannot award funds to entities or their key project personnel identified in the SAM.gov Exclusions database as ineligible, prohibited/restricted or otherwise excluded from receiving Federal contracts, certain subcontracts, and certain Federal assistance and benefits, as their ineligibility condition applies to this Federal program.

C4. Eligible Project Types

D. Application and Submission Information

D1. Address to Request Application Package

D2. Content and Form of Application Submission

1. SF-424, Application for Federal Assistance

Applicants must submit the appropriate Standard Form (SF)-424, Application for Federal Assistance. The required application forms are available with this announcement on Grants.gov. The SF-424, Application for Federal Assistance must be complete, signed, and dated. Do not include any proprietary or personally identifiable information. Please note: Enter only the amount requested from this Federal program in the "Federal" funding box on the SF-424 Application form. Include any other Federal sources of funding in the "Other" box and provide details on those Federal source(s) and funding amount(s) in the required Budget Narrative (see the "Budget Narrative" section below).

Any applicant organization that has not completed the financial assistance certifications and representations within their SAM.gov registration must submit the appropriate signed and dated Assurances form. All of the required application forms are available with this announcement on Grants.gov.

Project Narrative

Applicants must submit the appropriate SF-424 Budget Information form and Budget Narrative. For non-construction programs or projects, applicants must complete and submit the SF-424A, "Budget Information for Non-Construction Programs" form. All of the required application forms are available with this announcement on Grants.gov. Federal award recipients and subrecipients are subject to Federal award cost principles in 2 CFR 200.

Detailed Budget Narrative

Conflict of Interest Disclosure

Per the Financial Assistance Interior Regulation (FAIR), <u>2 CFR §1402.112</u>, applicants must state in their application if any actual or potential conflict of interest exists at the time of submission.

(a) Applicability.

- (1) This section intends to ensure that non-Federal entities and their employees take appropriate steps to avoid conflicts of interest in their responsibilities under or with respect to Federal financial assistance agreements.
- (2) In the procurement of supplies, equipment, construction, and services by recipients and by subrecipients, the conflict of interest provisions in 2 CFR 200.318 apply.
- (b) Notification.
- (1) Non-Federal entities, including applicants for financial assistance awards, must disclose in writing any conflict of interest to the DOI awarding agency or pass-through entity in accordance with 2 CFR 200.112.
- (2) Recipients must establish internal controls that include, at a minimum, procedures to identify, disclose, and mitigate or eliminate identified conflicts of interest. The recipient is responsible for notifying the Financial Assistance Officer in writing of any conflicts of interest that may arise during the life of the award, including those that have been reported by subrecipients.
- (c) *Restrictions on lobbying*. Non-Federal entities are strictly prohibited from using funds under a grant or cooperative agreement for lobbying activities and must provide the required certifications and disclosures pursuant to 43 CFR part 18 and 31 U.S.C. 1352.
- (d) *Review procedures*. The Financial Assistance Officer will examine each conflict of interest disclosure on the basis of its particular facts and the nature of the proposed grant or cooperative agreement, and will determine whether a significant potential conflict exists and, if it does, develop an appropriate means for resolving it.
- (e) Enforcement. Failure to resolve conflicts of interest in a manner that satisfies the government may be cause for termination of the award. Failure to make required disclosures may result in any of the remedies described in 2 CFR 200.338, Remedies for noncompliance, including suspension or debarment (see also 2 CFR part 180).

Single Audit Reporting Statement

All U.S. states, local governments, federally recognized Indian tribal governments, and non-profit organizations expending \$750,000 USD or more in Federal award funds in the applicant's fiscal year must submit a Single Audit report for that year through the Federal Audit Clearinghouse's Internet Data Entry System. U.S. state, local government, federally recognized Indian tribal government, and non-profit applicants must state if your organization was or was not required to submit a Single Audit report for the most recently closed fiscal year. If your organization was required to submit a Single Audit report for the most recently closed fiscal year, provide the EIN associated with that report and state if it is available through the Federal Audit Clearinghouse website.

Certification Regarding Lobbying

Applicants requesting more than \$100,000 in Federal funding must certify to the statements in <u>43</u> <u>CFR Part 18</u>, <u>Appendix A-Certification Regarding Lobbying</u>. If this application requests more than \$100,000 in Federal funds, the Authorized Official's signature on the appropriate SF-424, Application for Federal Assistance form also represents the entity's certification of the statements in 43 CFR Part 18, Appendix A.

Overlap or Duplication of Effort Statement

D3. Unique Entity Identifier and System for Award Management (SAM)

Identifier and System for Award Management (SAM.gov) Registration: This requirement does not apply to individuals applying for funds as an individual (i.e., unrelated to any business or nonprofit organization you may own, operate, or work within), or any entity with an exception to bypass SAM.gov registration with prior approval from the funding bureau or office in accordance with bureau or office policy. All other applicants are required to register in SAM.gov prior to submitting a Federal award application and obtain a Unique Entity Identifier (UEI) which replaces the Data Universal Numbering System (DUNS) number from Dun & Bradstreet in April 2022. A Federal award may not be made to an applicant that has not completed the SAM.gov registration. If an applicant selected for funding has not completed their SAM.gov registration by the time the program is ready to make an award, the program may determine the applicant is not qualified to receive an award. Federal award recipients must also continue to maintain an active SAM.gov registration with current information through the life of their Federal award(s).

Register with the System for Award Management (SAM)

Applicants can register on the <u>SAM.gov</u> website. The "Help" tab on the website contains User Guides and other information to assist you with registration. The Grants.gov "<u>Register with SAM</u>" page also provides detailed instructions. Applicants can contact the supporting Federal Service Desk for help registering in SAM. Once registered in SAM, entities will be assigned a Unique Entity Identifier (UEI). Entities must renew and revalidate their SAM registration at least once every 12 months from the date previously registered. Entities are strongly encouraged to revalidate their registration as often as needed to ensure their information is up to date and reflects changes that may have been made to the entity's IRS information. There is no cost to register with SAM.gov. There are third-party vendors who will charge a fee in exchange for registering entities with SAM.gov; please be aware you can register and request help for free. If applicable, foreign entities who want to receive payment directly to a U.S. bank account must enter and maintain valid, current banking information in SAM.

D4. Submission Dates and Times

Due Date for Applications

12/05/2023

Application Due Date Explanation

First application period: Tuesday, December 5, 2023, 4:00 p.m. Mountain Standard Time. Second application period: Tuesday, September 3, 2024,4:00 p.m., Mountain Daylight Time. Electronic applications must be submitted no later than 4:00 p.m., MDT, on the listed application due date.

D5. Intergovernmental Review

Prior to application submission, U.S. state and local government applicants should visit the OMB Office of Federal Financial Management website and view the "State Point of Contact (SPOC) List" to determine whether their application is subject to the state intergovernmental review process under Executive Order (E.O.) 12372 "Intergovernmental Review of Federal Programs." States not on the list do not participate in the intergovernmental review process, and therefore do not have a SPOC. If you are located within a State that does not have a SPOC, you may send application materials directly to a Federal awarding agency. If your state is on the list, contact the designated entity for more information on the state's prior review requirements for Federal assistance applications.

D6. Funding Restrictions

Indirect Costs: Individuals Indirect Costs: Organizations

D7. Other Submission Requirements

E. Application Review Information

E1. Criteria

A. Watershed Group Diversity and Geographic Scope

Maximum Points: 30

1. Evaluation Criterion A—Watershed Group Diversity and Geographic Scope (30 points)

Up to **30 points** may be awarded for this criterion. Proposals demonstrating that the New or Existing Watershed Group will represent the maximum diversity of interests, including representatives of the different sectors that exist within the watershed (e.g., hydropower production; livestock grazing; agriculture; industry; state or local governments; Tribes; disadvantaged communities; recreation; environmental interests), will receive the highest priority consideration under this criterion. For example, in previous years, successful applicants targeted a diverse array of stakeholders that included farmers, irrigators, tourist and recreation groups, industry, environmental organizations, universities, local governments, and disadvantaged communities.

Priority will also be given to those proposals that target stakeholders and project concepts for small to medium sub-basin sized watersheds (e.g., an approximate 8-digit USGS HUC water.usgs.gov/GIS/huc.html) and that represent the full extent of the watershed.

1. Sub-criterion No. A1. Watershed Group Diversity

Points shall be awarded to proposals based on the extent to which they encourage collaboration with a diverse array of stakeholders across the watershed.

Please describe the efforts that you will undertake to ensure that the watershed group will include a diverse array of stakeholders. If the watershed itself does not include a diverse set of interests and sectors, please also provide an explanation of this (e.g., some watersheds may not include affected stakeholders in all the sectors identified in the definition of a "watershed group" provided in Section A.2. Objective of this Notice of Funding Opportunity). If your proposed project is for site-specific planning or project design, rather than watershed wide planning, please still discuss the stakeholder diversity throughout the entire watershed in which the watershed group works. In responding to this sub-criterion, please include:

- For New and Existing Watershed Groups, a description of the stakeholder groups (e.g., agriculture, municipal, recreation, environmental, Tribal) within the watershed that affect or are affected by the quantity or quality of water within the watershed ("affected stakeholders"). Describe their role in the watershed and how they interact with the water resources and identify specific organizations, entities, or individuals that make up these groups.
- For New Watershed Groups, a description of the affected stakeholders within the watershed that already support the formation of watershed group. To the extent possible, please identify the specific stakeholders or groups that already support the formation of the watershed group, describe their interest in the watershed, what their involvement in the group entails, and reference any letters of support or pledges/donations from affected stakeholders.
- For Existing Watershed Groups, an explanation of the specific individuals, entities, and organizations already participating in the watershed group and whether the current participation is representative of the affected stakeholders within the watershed. In other words, if the watershed group is already diverse, please provide support demonstrating the diversity of the group. Provide a description of the stakeholders that are involved, what their involvement in the group entails, and reference any letters of support or pledges/donations from affected stakeholders. and how.
- For New and Existing Watershed Groups, if the group does not already represent the full stakeholder diversity of the watershed, provide details on how you plan to target affected stakeholders to ensure that your group will represent a diverse set of stakeholders within the watershed, such as engaging in outreach to include new members, or collaborating with different groups or partners (e.g., outreach or partnership activities, public meetings, newsletters, marketing materials, or recruitment of new members).
- For Existing Watershed Groups, a description of the structure of the watershed group, and for New Watershed Groups, a description of the anticipated structure of the watershed group. Is there a formal membership process or is participation more informal? If a formal membership process exists, ensure your responses under this criterion make it clear which watershed group participants are formal members and which act as partner organizations. How are decisions made within the watershed group? Does a board of directors make decisions or are decisions made on a consensus basis? If the watershed group has a board of directors, how are board members chosen?
- Any other support demonstrating that the watershed group will include a diverse membership.
- 1. Sub-criterion No. A2. Geographic Scope

Under this sub-criterion, higher priority will be given to Watershed Groups representing the full geographic extent of the watershed. Applicants will receive points based on the extent to which they intend to do work and include stakeholders from across the entire extent of the watershed.

In addition, proposals that target small to medium sub-basin sized watersheds, for example an approximate 8-digit USGS HUC, <u>water.usgs.gov/GIS/huc.html</u>, will be given priority over large or very small watersheds.

Please provide the following information in response to this sub-criterion:

- Provide a map illustrating the geographic boundaries of the area in which the watershed group will work.
- The map should also identify the location or boundaries of the stakeholder groups within the area and indicate which stakeholders are currently involved in the group and which will be targeted through outreach. If a map of stakeholder locations cannot be provided, please describe the geographic scope of the area to the best of your knowledge, including where specific stakeholders are located within the watershed
- Describe the extent to which the planned membership of the watershed group will represent the full geographic scope of the area in which the group intends to work. If applicable, describe the extent to which the watershed group already represents the geographic scope of the area.
- Describe the efforts that you will undertake to ensure that the watershed group will target stakeholders that represent the full geographic scope of the area in which the watershed group will work. For example, will outreach focus on stakeholders in a certain part of the watershed the have historically not been represented in the watershed group.
- Describe why you have chosen to work within the watershed area you described. For example, if the watershed group is only working along the river corridor, describe why they are not working within the larger watershed area.
- If your proposed project is for site-specific planning (e.g., completing planning for a specific stream reach) or watershed management project design, rather than watershed wide planning, please first address the above question for the entire watershed area in which the watershed group works and then address the following related to the smaller area for which you are completing planning or design.
 - o Provide a map illustrating the location of the planning or design.
 - o Briefly describe why you have chosen to complete site-specific planning or project design in this location.

B. Developing Strategies to Address Critical Watershed Needs

1. Evaluation Criterion B—Project Benefits(35 points)

Up to **35 points** may be awarded under this criterion based on the extent of the critical issues or needs within the watershed that can be addressed by the New or Existing Watershed Group.

1. Sub-criterion No. B1. Critical Watershed Needs or Issues

Please describe in detail the critical issues or needs of the watershed, for example: declining ecological resiliency, water supply shortages, wildfire risk or post-fire concerns, flooding, water quality impairments, endangered species concerns, drought impacts, aquatic and riparian

Maximum Points: 35

ecosystem degradation, habitat fragmentation and degradation, conflicts over water supply, and other related issues faced by affected stakeholders.

Provide quantitative and qualitative support to describe the severity of the critical issues or needs. If the concerns are not yet severe, describe why it is important to address the concerns preemptively and explain the potential impacts of not addressing the concerns.

Applicants should consider contacting Federal, state, and local agencies; non-governmental organizations; and other affected stakeholders to discuss what critical issues are affecting the watershed.

1. Sub-criterion No. B2. Project Benefits

Please respond to the following questions as applicable to your proposed project.

All Applicants:

- Linking your response to the critical watershed issues you identified in response to Criterion B1, please provide an explanation of why your proposed watershed group activities are an important next step for addressing the issues.
- Based on current information, what are the expected benefits of the proposed activities? To the extent possible, describe the anticipated benefits. Provide quantitative and qualitative support for the expected benefits (e.g., cite to relevant data sources or literature, provide examples where applicable).
- What stakeholders will benefit from the proposed project?

Applicants proposing activities under Task C: Watershed Management Project Design

- To the extent known, describe the project(s) for which the watershed group will complete a design.
- What type of site-specific project design and engineering will the watershed group complete?
- To the extent possible, describe and quantify the potential benefits of the watershed management project that would result from the design process.

C. Readiness to Proceed

1. Evaluation Criterion C—Readiness to Proceed (20 points)

Up to **20 points** may be awarded to proposals based on the extent to which the application demonstrates that the applicant understands program requirements, is able to proceed with proposed activities upon entering into a financial assistance agreement, and able to complete proposed activities within the required three-year time frame.

- Include a preliminary project schedule that shows the stages and duration of the proposed work including major tasks, milestones, and dates. For each task and milestone, indicate who will have the primary responsibly for completion. Proposals that provide a detailed project schedule broken down by tasks and subtasks with identified milestones will be prioritized.
- Proposals with a budget and budget narrative that provide a reasonable explanation of project costs will be prioritized.

Maximum Points: 20

• Describe any new policies or administrative actions required to implement the plan or project being designed.

D. Presidential and Department of the Interior Priorities Maximum Points: 15

1. Evaluation Criterion D—Presidential and Department of the Interior Priorities (15 points)

Up to **15 points** may be awarded based on the extent that the project demonstrates support for the Biden-Harris Administration's priorities, including E.O. 14008: Tackling the Climate Crisis at Home and Abroad and E.O. 13985: Advancing Racial Equity and Support for Underserved Communities Through the Federal Government, and the President's memorandum, Tribal Consultation and Strengthening Nation-to Nation Relationships.

1. Climate Change

Points will be awarded based on the extent the project will reduce climate pollution; increase resilience to the impacts of climate change; protect public health; and conserve our lands, waters, oceans, and biodiversity. Address the following as relevant to your project.

- Please provide specific details and examples on how the project will address the impacts of climate change and help combat the climate crisis.
- Does this proposed project strengthen water supply sustainability to increase resilience to climate change? Does the proposed project contribute to climate change resiliency in other ways not described above?
- 1. Benefits to Disadvantaged, Underserved, and Tribal Communities

Points will be awarded based on the extent to which the project directly serves disadvantaged, underserved, or Tribal communities. For the purposes of this criterion, territories and Tribes are considered disadvantaged. Address the following as relevant to your project.

Disadvantaged and Underserved Community Benefits:

- Please use the Council on Environmental Quality's interactive Climate and Economic Justice Screening Tool, available online at Explore the map Climate & Economic Justice Screening Tool (https://screeningtool.geoplatform.gov) to identify the disadvantaged communities that will benefit from your project.
- If applicable, describe how the proposed project will serve or benefit a disadvantaged or underserved community, identified using the tool described above. For example, will the project improve public health and safety by addressing water quality, add new water supplies, provide economic growth opportunities, or provide other benefits in a disadvantages or underserved community?

Tribal Benefits:

- Does the proposed project directly serve and/or benefit a Tribe? Benefits can include, but are not limited to, public health and safety by addressing water quality, new water supplies, economic growth opportunities, or improving water management.
- Does the proposed project support Reclamation's Tribal trust responsibilities or a Reclamation activity with a Tribe?

E2. Review and Selection Process

E3. CFR – Regulatory Information

E4. Anticipated Announcement and Federal Award Dates

F. Federal Award Administration Information

F1. Federal Award Notices

F2. Administrative and National Policy Requirements

See the "DOI Standard Terms and Conditions" for the administrative and national policy requirements applicable to DOI awards.

Data Availability

Per the Financial Assistance Interior Regulation (FAIR), <u>2 CFR §1402.315</u>:

- (a) All data, methodology, factual inputs, models, analyses, technical information, reports, conclusions, valuation products or other scientific assessments in any medium or form, including textual, numerical, graphic, cartographic, narrative, or audiovisual, resulting from a financial assistance agreement is available for use by the Department of the Interior, including being available in a manner that is sufficient for independent verification.
- (b) The Federal Government has the right to:
- (1) Obtain, reproduce, publish, or otherwise use the data, methodology, factual inputs, models, analyses, technical information, reports, conclusions, or other scientific assessments, produced under a Federal award; and
- (2) Authorize others to receive, reproduce, publish, or otherwise use such data, methodology, factual inputs, models, analyses, technical information, reports, conclusions, or other scientific assessments, for Federal purposes, including to allow for meaningful third-party evaluation.

F3. Reporting

Financial Reports

All recipients must use the <u>SF-425</u>, <u>Federal Financial Report</u> form for financial reporting. At a minimum, all recipients must submit a **final** financial report. Final reports are due no later than 90 calendar days after the award period of performance end date or termination date. For awards

with periods of performance longer than 12 months, recipients are required to submit **interim** financial reports on the frequency established in the Notice of Award.

Performance Reports

Performance reports must contain a comparison of actual accomplishments with the established goals and objectives of the award; a description of reasons why established goals were not met, if appropriate; and any other pertinent information relevant to the project results. Final reports are due no later than 90 calendar days after the award period of performance end date or termination date. For awards with periods of performance longer than 12 months, recipients are required to submit **interim** financial reports on the frequency established in the Notice of Award.

Real Property Reports

Recipients and subrecipients are required to submit status reports on the status of real property acquired under the award in which the Federal government retains an interest. The required frequency of these reports will depend on the anticipated length of the Federal interest period. Reclamation will include recipient-specific real property reporting requirements, including the required data elements, reporting frequency, and report due dates, in the Notice of Award when applicable.

Conflict of Interest Disclosures

Recipients must notify the program immediately in writing of any conflict of interest that arise during the life of their Federal award, including those reported to them by any subrecipient under the award. Recipients must notify the program in writing if any employees, including subrecipient and contractor personnel, are related to, married to, or have a close personal relationship with any Federal employee in the Federal funding program or who otherwise may have been involved in the review and selection of the award. The term employee means any individual engaged in the performance of work pursuant to the Federal award. Recipients may not have a former Federal employee as a key project official, or in any other substantial role related to their award, whose participation put them out of compliance with the legal authorities addressing post-Government employment restrictions. See the U.S. Office of Government Ethics website for more information on these restrictions. Reclamation will examine each conflict of interest disclosure based on its particular facts and the nature of the project and will determine if a significant potential conflict exists. If it does, Reclamation will work with the recipient to determine an appropriate resolution. Failure to disclose and resolve conflicts of interest in a manner that satisfies Reclamation may result in any of the remedies described in 2 CFR 200.338 Remedies for Noncompliance, including termination of the award.

Other Mandatory Disclosures

The Non-Federal entity or applicant for a Federal award must disclose, in a timely manner, in writing to the Federal awarding agency or pass-through entity all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the Federal award. Non-Federal entities that receive a Federal award including the terms and conditions outlined in 2 CFR 200, Appendix XII—Award Term and Condition for Recipient Integrity and Performance Matters are required to report certain civil, criminal, or administrative proceedings to SAM. Failure to make required disclosures can result in any of the remedies described in 2 CFR 200.338 Remedies for Noncompliance, including suspension or debarment.

Reporting Matters Related to Recipient Integrity and Performance

If the total value of your currently active grants, cooperative agreements, and procurement

contracts from all Federal awarding agencies exceeds \$10,000,000 for any period of time during the period of performance of this Federal award, then you as the recipient during that period of time must maintain the currency of information reported to the System for Award Management (SAM) that is made available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)) about civil, criminal, or administrative proceedings in accordance with Appendix XII to 2 CFR 200.

G. Federal Awarding Agency Contact(s)

G1. Program Technical Contact
or programmatic technical assistance, contact:
irst Name:
Lobin
ast Name:
Graber
Address:
elephone:
03-445-2764
mail:

G2. Financial Assistance Administrative Contact

For financial assistance administrative assistance, contact: First Name: Christina Last Name: Munoz Address:

Telephone: 720-614-2192

rgraber@usbr.gov

Email:

bor-sha-fafoa@usbr.gov

G3. Application System Technical Support

For Grants.gov technical registration and submission, downloading forms and application packages, contact:

Name:

Grants.gov Customer Support

Telephone:

1-800-518-4726

Email:

Support@grants.gov

H. Other Information